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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/041,570

01/10/2002

Andrew Myers

23452-149

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10/13/2005

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EXAMINER

NGUYEN, VAN H

ART UNIT

PAPER NUMBER

2194

DATE MAILED: 10/13/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

10/041,570

Applicant(s)

MYERS, ANDREW

Examiner

VAN H. NGUYEN

Art Unit

2194

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 21 September 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-28 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

1. Claims 1-28 are currently presented in this application.

***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 1-28 are rejected under 35 U.S.C. 102(e) as being anticipated by **Sanghvi et al.**

(Pub. No.: US 2002/0010804 A1).

4. **As to claim 1:**

Sanghvi teaches the invention as claimed including a system for providing notification of events in a unified communications services network (*see the Abstract and paras. 0007-0011*) the system comprising:

- (i) a network that provides unified communications services to subscribers that enable the subscribers to share information, the unified

communications services comprising application processes (*see fig.1 and the event providers, event consumers discussion beginning at para. 0026*);

- (ii) an event filter in communication with an event generating application process, wherein the event filter performs a first level filtering of notification of an event generated by the event generating application process (*see the event filters discussion beginning at para. 0008 and para 0052*);
- (iii) an event manager that receives the notification of the event from the event filter over the network , and disperses the event over the network (*see fig. 4 and event transformer discussion beginning at para. 0039*); and
- (iv) a notification handler that receives the notification of the event (*see event notification discussion beginning at paras. 0026, 0029, 0035, 0036, and 0054*).

5. **As to claim 2:**

Sanghvi teaches the first level filtering is performed based on an event type of the event (*see the event filtering and event type discussion beginning at para. 0049*).

6. **As to claim 3:**

Sanghvi teaches the first level filtering is performed based on a priority level for the event (*see the priority level discussion beginning at para. 0055*).

7. **As to claim 4:**

Sanghvi teaches a plurality of event generating application processes and a plurality of

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notification handlers registering of the plurality of notification handlers (*see paras. 0049-0050*) a registration manager that manages the registration of the plurality of notification handlers, and provides awareness of registered notification handlers to at least one of the plurality of event generating application processes (*see the registry discussion beginning at para. 0034*).

8. **As to claim 5:**

Sanghvi teaches the registration manager maintains a list of currently registered notification handlers in a shared memory and wherein the plurality of event generating application processes may query the list of currently registered notification handlers (*see paras. 0030-0036*).

9. **As to claim 6:**

Sanghvi teaches the notification handler performs a second level filtering of notification of the event (*see fig.4 and the second event filters discussion beginning at para. 0047*).

10. **As to claim 25:**

Sanghvi teaches the notification handler performs the second level filtering of notification of the event by determining whether contents of the event meet second level filtering criteria established by a subscriber, and communicating the event to the subscriber if the contents of the event meet the second level filtering criteria associated with the subscriber (*see fig.4 and the multi-level event filtering discussion beginning at para. 0047*).

11. **As to claims 7- 12 and 26:**

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Note the rejection of claims 1-6, and 25 above. Claims 7-12, and 26 are the same as claims 1- 6 and 25, except claims 7- 12 and 26 are method claims and claims 1- 6 and 25 are system claims.

**12. As to claims 13-18 and 27:**

They include the same limitations as claims 1-6 and 25 above, and are similarly rejected under the same rationale.

**13. As to claims 19-24 and 28:**

Note the rejection of claims 1-6 and 25 above. Claims 19-24 and 28 are the same as claims 1- 6 and 25, except claims 19-24, and 28 are processor readable medium claims and claims 1- 6 and 25 are system claims.

***Response to Arguments***

14. Applicant's arguments with respect to claims 1-28 have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

15. Any inquiry or a general nature or relating to the status of this application should be directed to the TC 2100 Group receptionist: (571) 272-2100.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to VAN H. NGUYEN whose telephone number is (571)

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272-3765. The examiner can normally be reached on Monday-Thursday from 8:30AM – 6:00PM. The examiner can also be reached on alternative Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor WILLIAM THOMSON can be reached on (571) 272-3718.

The fax phone number for the organization where this application or proceeding is assigned is **571-273-8300**.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

**Any response to this action should be mailed to:  
Commissioner for patents  
P O Box 1450  
Alexandria, VA 22313-1450**

  
W-Thom  
TC2100  
SP6 AU 2194